

LOUISIANA LEGISLATURE

Income Disclosure Form

Calendar Year 2006

(Pursuant to R.S. 42:1114.1)

NAME: Mike Smith

Legislative District-

Senate District No. 31

2007-516

2070345

INSTRUCTIONS

1. If you do not have income to report, complete Items 1 and 2(a) and (b) or 3(a) and (b), and sign below.
2. Complete 2(a) and (b) or 3(a) and (b) whether or not income is reported.
3. If you have income to report, complete this form with respect to income received during the previous calendar year.

Income exceeding \$250.00 received by a member, a member's spouse, or a business enterprise in which the member or the member's spouse owns at least 10% must be reported if received from any of the following:

A. Income received directly from the state, or local political subdivisions of the state.

Complete Items 2(a) and (b) or 3(a) and (b) and Attachment A to report income received directly from the state or local political subdivisions of the state, and sign below.

Income from service in the legislature, salary from full time employment of a member's spouse, salary of a member's spouse when such spouse is an elected official, and benefits from a statewide public retirement system are excluded and should not be reported.

B. Income received for services performed for or in connection with a gaming interest.

Complete Items 2(a) and (b) or 3(a) and (b) and Attachment B to report income which was received for services performed for or in connection with a gaming interest, and sign below.

4. This form must be signed by the legislator and filed with the Secretary or Clerk by June 29, 2007.
5. Transmit original either to:

Louisiana Senate OR
Office of the Secretary
P. O. Box 44183
Baton Rouge, LA 70804

Louisiana House of Representatives
Office of the Clerk
P. O. Box 44281
Baton Rouge, LA 70804

1. ☒ Neither I, my spouse, nor any business enterprise in which I or my spouse have a 10% interest or greater has received income in excess of \$250.00 from the state of Louisiana or any local governmental entity or political subdivision thereof, or from services performed for or in connection with a gaming interest.

(Complete Items 2(a) and (b) or 3(a) and (b) and sign below)

2. ☒ (a) I certify that I have filed my federal income tax return for the previous year.
☒ (b) I certify that I have filed my state income tax return for the previous year.

OR

3. ☐ (a) I certify that I have filed for an extension of my federal income tax return for the previous year.
☐ (b) I certify that I have filed for an extension of my state income tax return for the previous year.

SIGNATURE:

Kenneth M. Smith

DATE:

June 12, 2007

PREPARED BY:

Glenn Koopp, Secretary of the Senate
and
Alfred W. Speer, Clerk of the House

FOR OFFICE USE ONLY

RECEIVED
JUN 18 2007

Received by:

Date:

LEGISLATION
CLERK OF THE SENATE
RECEIVED

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HAND DELIVERED

ATTACHMENT A

Income Received from the State or Local Political Subdivisions of the State

Each separate agency, department, or political subdivision from which income has been received should be listed separately. Also, income which may be received from the same or different agencies, departments, or subdivisions, but which was payable to different income sources (e.g., two different corporations) should be listed separately.

If additional space is necessary, make copies of this attachment.

☐ I, my spouse, or a business enterprise in which I or my spouse have a 10% interest or greater have received income in excess of \$250.00 from the state of Louisiana, or a local governmental entity or political subdivision(s) thereof, as follows:

☐ Information relative to ownership, financial interest and income derived from Medicaid funds may be accessed through files on record with the Department of Health and Hospitals, Bureau Human Standards.

(1) RECEIVED FROM:

(Name of state agency, department, or political subdivision)	Income Received
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(2) RECEIVED BY:

Self; Spouse; Business Enterprise in which self or spouse has ten percent (10%) ownership.)

(3) If (2) above is a business enterprise, interest in said enterprise of 10% or greater is owned by:

Check one:

- ☐ Self (or asset of community property regime).
☐ Spouse (separate property).
☐ Jointly, with spouse.

(4) RECEIVED PURSUANT TO:

- ☐ (a) a contract awarded by competitive bidding after being advertised and awarded in accordance with the public bid law in RS 38:2211 et seq.
☐ (b) a contract competitively negotiated through a request for proposal or similar process in accordance with the procurement of professional personal consulting and social services in RS 39:1481 et seq. and the Louisiana Procurement Code in RS 39:1551 et seq.
☐ (c) a provider agreement with DHH under state medical assistance program.
☐ (d) a foster parent or child care provider agreement with DSS.
☐ (e) a contract or subcontract entered into prior to my initial election and not renewed.
☐ (f) a contract or subcontract entered into prior to July 1, 1995 and not renewed.
☐ (g) employment in a professional educational capacity in any elementary or secondary school or other educational institution.
☐ (h) a sale of immovable property pursuant to an expropriation.
☐ (i) employment as a physician with the state or the charity hospitals of the state.
☐ (j) contract with a political subdivision as defined in Art. VI, §44(2).

ATTACHMENT B

Income Received For Services Performed For Or In Connection With A Gaming Interest

Each gaming interest from which income has been received should be listed separately. Also, income which may be received from the same or different gaming interests, but which was payable to different income sources (e.g., two different corporations) should be listed separately.

If additional space is necessary, make copies of this attachment.

☐ I, my spouse, or a business enterprise in which I or my spouse have a 10% interest or greater have received income which is directly or indirectly related to services performed for or in connection with a gaming interest.

(1) RECEIVED FROM:

(Name of gaming interest)	Income Received
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(2) RECEIVED BY:

(Self; Spouse; Business Enterprise in which self or spouse has ten percent (10%) ownership.)

(3) If (2) above is a business enterprise, interest in said enterprise of 10% or greater is owned by:

Check one

_____ Self (or asset of community property regime)

_____ Spouse (separate property)

_____ Jointly, with spouse

§1114.1. Financial disclosure; legislators

A. Each member of the legislature shall file a report with the clerical officer of the house to which he belongs, by July first of each year of his or her term of office, showing the following:

(1) Any and all income exceeding two hundred fifty dollars received during the immediately preceding calendar year by such member, the spouse of such member, or any business enterprise in which such member and/or his spouse owns at least ten percent, which is received from any of the following:

(a) The state or any political subdivision as defined in Article VI of the Constitution of Louisiana.

(b) Services performed for or in connection with a gaming interest as defined in R.S. 18:1505.2(L)(3)(a).

(2) A certification that such member has filed his or her federal and state income tax return, or has filed for an extension of time for filing such tax return.

(3) Notwithstanding the provisions of this Subsection, any legislator who receives Medicaid funds may indicate on his financial disclosure report that information relative to ownership, financial interest and income derived therefrom, may be accessed through files on record with the Department of Health and Hospitals, Bureau of Health Standards.

B.(1) For purposes of this Section, "income" includes:

(a) Any remuneration received under any verbal or written contract of employment.

(b) Fees received for professional services, less expenses paid to third parties.

(c) With respect to the sale or lease of immovable property, merchandise, or equipment, the net income, prior to taxation, received from the sale or lease of such immovable property, merchandise, or equipment.

(2) For purposes of this Section, "income" shall not include remuneration from the legislature, salary from the full-time employment of the member's spouse, salary of the member's spouse when such spouse is an elected official, and benefits from a statewide public retirement system, and such amounts need not be reported.

C. The secretary of the Senate and the clerk of the House of Representatives jointly shall prescribe the form for filing of reports by members of the legislature, as required by this Section. On or before June first of each year, the secretary shall notify each member of the Senate and the clerk shall notify each member of the House of Representatives that the report is due on July first. On or before July fifteenth, the secretary of the Senate and the clerk of the House of Representatives shall transmit to the Board of Ethics copies of all disclosure reports filed with them, respectively, and shall notify the Board of Ethics in writing of any members who have not filed such reports. Reports transmitted by the secretary of the Senate and the clerk of the House of Representatives shall be deemed to have been filed with the Board of Ethics by the member, as of the date of filing with such clerical officer.

D. Failure to file a report, failure to timely file a report, failure to disclose required information, or filing a false report shall subject a member to penalties as provided by this Chapter, and also shall constitute contempt of the house to which the member belongs.

Acts 1999, No. 2, §2; Acts 2001, No. 328, §1.